



### United States Patent and Trademark Office

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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 10/814,790 04/01/2004 Han Joo Yoo 1594,1433 **CONFIRMATION NO. 4951** 21171 **FORMALITIES LETTER** 0 6 2004 AU6 STAAS & HALSEY LL SUITE 700 \*OC000000012981911\* 1201 NEW YORK AVEN (1201 N.W. WASHINGTON, DC 20005 TRANFINES JUN **2** 1 2004 Date Mailed: 06/17/2004

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

08/09/2004 JBALINAN 00000047 10814790

P.O. Box 1450

01 FC:1001 02 FC:1051 770.00 up 130.00 up Alexandria VA 22313-1450 A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

# USPTO TO PROVIDE ELECTRONIC ACCESS TO CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS AND CEASE SUPPLYING PAPER COPIES

In support of its 21st Century Strategic Plan goal of increased patent e-Government, beginning in June 2004, the United States Patent and Trademark Office (Office or USPTO) will begin the phasein of its E-Patent Reference program and hence will: (1) provide downloading capability of the U.S. patents and U.S. patent application publications cited in Office actions via the E-Patent Reference feature of the Office's Patent Application Information Retrieval (PAIR) system; and (2) cease mailing paper copies of U.S. patents and U.S. patent application publications with Office actions (in applications and during reexamination proceedings) except for citations made during the international stage of an international application under the Patent Cooperation Treaty (PCT). In order to use the new E-Patent Reference feature applicants must: (1) obtain a digital certificate and software from the Office; (2) obtain a customer number from the Office; and (3) properly associate patent applications with the customer number. Alternatively, copies of all U.S. patents and patent application publications can be accessed without a digital certificate from the USPTO web site, from the USPTO Office of Public Records, and from commercial sources. The Office will continue the practice of supplying paper copies of foreign patent documents and nonpatent literature with Office actions. Paper copies of cited references will continue to be provided by the USPTO for international applications during the international stage.

## **Schedule**

TCs 1600, 1700, 2800 and 2900 June 2004

TCs 3600 and 3700 July 2004 TCs 2100 and 2600 August 2004

All U.S. patents and U.S. patent application publications are available on the USPTO web site. However, a simple system for downloading the cited U.S. patents and patent application publications has been established for applicants, called the E-Patent Reference system. As E-Patent Reference and Private PAIR require participating applicants to have a customer number, retrieval software and a digital certificate, all applicants are strongly encouraged to contact the Patent Electronic Business Center to acquire these items. To be ready to use this system by June 1, 2004. contact the Patent EBC as soon as possible by phone at 866-217-9197 (toll-free), 703-305-3028 or 703-308-6845 or electronically via the Internet at ebc@uspto.gov.

## Other Options

The E-Patent Reference function requires the applicant to use the secure Private PAIR system. which establishes confidential communications with the applicant. Applicants using this facility must receive a digital certificate, as described above. Other options for obtaining patents which do not require the digital certificate include the USPTO's free Patents on the Web program (http://www.uspto.gov/patft/index.html). The USPTO's Office of Public Records also supplies copies of patents for a fee (http://ebizl.uspto.gov/oems25p/index.html). Commercial sources also provide U.S. patents and patent application publications.

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		S&H Form: PTO/SB/17 (10/03)				
RESPONSE TO NOTICE TO FILE	Attorney Docket No.	1594.1433				
MISSING PARTS	Application Number	10/814,790				
	Filing Date	April 1, 2004				
the state of the s	First Named Inventor	Han Joo YOO				
	Group Art Unit	Unassigned				
Title: REFRIGERATOR AND METHOD FOR CONTROLLING THE SAME						
Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed <u>June 17, 2004</u> for the above-identified application, enclosed are the following:						
RETURN COPY of Notice to File Missi	□ RETURN COPY of Notice to File Missing Parts of Nonprovisional Application					
Executed Combined Declaration/Power of Attorney executed by the inventor(s) for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor(s) executed by signing the attached Declaration.						
Formal Drawings (Sheets; Figs)						
☐ English-language translation of application (with Translator's Statement (pages))						
☐ Verified Statement Claiming Small Entity Status						
Enclosed is a payment of the following:						
☐ The filing fee as set forth in 37 C.F.R. §	\$770.00					
☐ The additional claim(s) fee ( claims over 20)						
☐ The additional independent claim(s) fee ( claims over 3) \$						
Multiple dependent claims						
Petition for Extension of Time (month	\$					
Assignment Recordation Fee	•	\$40.00				
⊠ Surcharge as set forth in 37 C.F.R. § 1.	16(e)	\$130.00				
SUB	\$940.00					
Reduction by 50% for filing by small ent	.00					
SUB	\$940.00					
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Since the Notice to File Missing Parts of Nonprovisional Application set an <u>original</u> due date of <u>August 17, 2004</u> , petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$420)); (3 months (\$950)); (4 months (\$1,480)); (5 months (\$2,010)):						
METHOD OF PAYMENT						
$\boxtimes$	Check enclosed as payment.					
	Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.					
AUTHORIZATION						
If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:						
Deposit Account No.: 19-3935						
Deposit Account Name: STAAS & HALSEY LLP						
SUBMITTED BY: STAAS & HALSEY LLP						
Туре	d Name	Michael D. Stein	Reg. No.	37,240		
Signa	ature	Mula Delt	Date	August 6, 2004		